

**2019 Tax Reporting & Withholding Conference
Tentative Program – March 18, 2019**

Monday, April 29

7:30 a.m. – 5:30 p.m.

Registration

8:30 a.m. – 11:30 a.m.

**U.S./Domestic Form 1099 Reporting, Backup Withholding, B Notices, and
Form 972CG Penalties**

Cheryl Riedlinger, The Tax Reporting Group

Nelson Suit, Scivantage, Inc.

8:30 a.m. – 11:30 a.m.

Fundamentals of Brokerage Tax Operations Tutorial

David Bolner, Broadridge Financial Solutions, Inc.

12:30 p.m. – 5:30 p.m.

NRA and FATCA Form 1042-S Reporting Bootcamp

Philip Garlett, Ernst & Young LLP

Kelli Wooten, KPMG LLP

Tuesday, April 30

7:30 a.m. – 5:00 p.m.

Registration

7:30 a.m. – 8:30 a.m.

Continental Breakfast – *Sponsored by Comply Exchange*

8:30 a.m. – 8:40 a.m.

Welcome and Introductions

Paul Sterbenz, Fifth Third Bank

8:40 a.m. – 9:45 a.m.

Latest and Greatest in IRS Reporting for 2019 and IRPAC Update

Deborah Pflieger, Ernst & Young LLP

Kelli Wooten, KPMG LLP

9:45 a.m. – 10:30 a.m.

Client/Vendor Onboarding and Account Opening for Banks and Brokers

Iris Goldman, Comply Exchange

Aureon Herron-Hinds, RSM US LLP

10:30 a.m. – 10:45 a.m.

Refreshment Break

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10:45 a.m. – 12:15 p.m.

Broker Reporting – All the Latest on Form 1099-B Cost Basis, Form 1099-INT, DIV, OID and §871(m)

Martin Bentsen, FIS / Wall Street Concepts

Neal Ruskin, Silver Cost Basis

Nelson Suit, Scivantage, Inc.

12:15 p.m. – 1:30 p.m.

Lunch – Sponsored by Sovos Compliance

1:30 p.m. – 2:45 p.m.

Pensions, IRA and HSA Reporting

Susan Diehl, PenServ Plan Services, Inc.

2:45 p.m. – 3:00 p.m.

Refreshment Break – Sponsored by Ernst & Young LLP

3:00 p.m. – 4:30 p.m.

Concurrent Sessions (Choose 1 of 3):

- 1. Pensions, IRA and HSA Reporting Continued**
Susan Diehl, PenServ Plan Services, Inc.
- 2. Reporting for Lending on Forms 1098, 1099-A and C**
Kaylene Crouch, Wells Fargo Bank, NA
Cheryl Riedlinger, The Tax Reporting Group
- 3. Best Practices for Broker Dealers – Panel Discussion**
Moderator: James O'Regan, Broadridge Financial Solutions, Inc.

Wednesday, May 1

7:30 a.m. – 8:30 a.m.

Continental Breakfast

8:30 a.m. – 8:35 a.m.

Welcome and Introductions

Paul Sterbenz, Fifth Third Bank

8:35 a.m. – 9:15 a.m.

Cryptocurrency

Stevie Conlon, Wolters Kluwer

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9:15 a.m. – 10:00 a.m.

State Reporting – It’s Not Just IRS Anymore!
Wendy Walker, Sovos Compliance

10:00 a.m. – 10:15 a.m.

Refreshment Break

10:15 a.m. – 11:30 a.m.

**NRA Basics and Form 1042-S – Even If You Don’t Have FATCA Reporting, Every
Financial Institution Has NRAs**
Cyrus Daftary, KPMG LLP

11:30 a.m. – 12:30 p.m.

Lunch – Sponsored by Deloitte Tax LLP

12:30 p.m. – 1:15 p.m.

The Common Reporting Standard
Denise Hintzke, Deloitte Tax LLP

1:15 p.m. – 2:45 p.m.

**Advanced NRA and FATCA with IRS – Latest on Foreign TINs, Validation Standard for
LOB Certifications and New Requirements for QDDs**
Chip Collins, UBS AG
Philip Garlett, Ernst & Young LLP
Laurie Hatten-Boyd, KPMG LLP
Nancy Lee, Internal Revenue Service
John Sweeney, Internal Revenue Service

2:45 p.m. – 3:00 p.m.

Conference Wrap Up
Paul Sterbenz, Fifth Third Bank

3:00 p.m.

Conference Adjourns