

**2019 Tax Reporting & Withholding Conference  
Final Program – Schedule of Events**

---

**Monday, April 29**

7:30 a.m. – 5:30 p.m.

**Registration**

8:30 a.m. – 11:30 a.m.

**U.S./Domestic Form 1099 Reporting, Backup Withholding, B Notices, and  
Form 972CG Penalties**

*Cheryl Riedlinger, The Tax Reporting Group*

*Nelson Suit, Scivantage, Inc.*

8:30 a.m. – 11:30 a.m.

**Fundamentals of Brokerage Tax Operations Tutorial**

*David Bolner, Broadridge Financial Solutions, Inc.*

12:30 p.m. – 5:30 p.m.

**NRA and FATCA Form 1042-S Reporting Bootcamp**

*Philip Garlett, Ernst & Young LLP*

*Kelli Wooten, KPMG LLP*

**Tuesday, April 30**

7:30 a.m. – 5:00 p.m.

**Registration**

7:30 a.m. – 8:30 a.m.

**Continental Breakfast – Sponsored by Comply Exchange**

8:30 a.m. – 8:40 a.m.

**Welcome and Introductions**

*Paul Sterbenz, Fifth Third Bank*

8:40 a.m. – 9:45 a.m.

**Latest and Greatest in IRS Reporting for 2019 and IRPAC Update**

*George Fox, Ernst & Young LLP*

*Maria Murphy, Ernst & Young LLP*

*Deborah Pflieger, Ernst & Young LLP*

*Kelli Wooten, KPMG LLP*

9:45 a.m. – 10:30 a.m.

**Client/Vendor Onboarding and Account Opening for Banks and Brokers**

*Iris Goldman, Comply Exchange*

*Aureon Herron-Hinds, RSM US LLP*

**2019 Tax Reporting & Withholding Conference  
Final Program – Schedule of Events**

---

10:30 a.m. – 10:45 a.m.

**Refreshment Break**

10:45 a.m. – 12:15 p.m.

**Broker Reporting – All the Latest on Form 1099-B Cost Basis, Form 1099-INT, DIV, OID and §871(m)**

*Martin Bentsen, FIS / Wall Street Concepts*

*Neal Ruskin, Silver Cost Basis*

*Nelson Suit, Scivantage, Inc.*

12:15 p.m. – 1:30 p.m.

**Lunch – Sponsored by Sovos Compliance**

1:30 p.m. – 2:45 p.m.

**Pensions, IRA and HSA Reporting**

*Susan Diehl, PenServ Plan Services, Inc.*

2:45 p.m. – 3:00 p.m.

**Refreshment Break – Sponsored by Ernst & Young LLP**

3:00 p.m. – 4:30 p.m.

**Concurrent Sessions (Choose 1 of 3):**

- 1. Pensions, IRA and HSA Reporting Continued**  
*Susan Diehl, PenServ Plan Services, Inc.*
- 2. Reporting for Lending on Forms 1098, 1099-A and C**  
*Kaylene Crouch, Wells Fargo Bank, NA*  
*Yvonne Quiero, JPMorgan Chase & Co.*  
*Cheryl Riedlinger, The Tax Reporting Group*
- 3. Best Practices for Broker Dealers – Panel Discussion**  
*Moderator: James O'Regan, Broadridge Financial Solutions, Inc.*

**Wednesday, May 1**

7:30 a.m. – 8:30 a.m.

**Continental Breakfast**

8:30 a.m. – 8:35 a.m.

**Welcome and Introductions**

*Paul Sterbenz, Fifth Third Bank*

**2019 Tax Reporting & Withholding Conference  
Final Program – Schedule of Events**

---

8:35 a.m. – 9:15 a.m.

**Cryptocurrency**

*Stevie Conlon, Wolters Kluwer*

9:15 a.m. – 10:00 a.m.

**State Reporting – It’s Not Just IRS Anymore!**

*Wendy Walker, Sovos Compliance*

10:00 a.m. – 10:15 a.m.

**Refreshment Break**

10:15 a.m. – 11:30 a.m.

**NRA Basics and Form 1042-S – Even If You Don’t Have FATCA Reporting, Every  
Financial Institution Has NRAs**

*Cyrus Daftary, KPMG LLP*

*Kelli Wooten, KPMG LLP*

11:30 a.m. – 12:30 p.m.

**Lunch – Sponsored by Deloitte Tax LLP**

12:30 p.m. – 1:15 p.m.

**The Common Reporting Standard**

*Denise Hintzke, Deloitte Tax LLP*

1:15 p.m. – 2:45 p.m.

**Advanced NRA and FATCA with IRS – Latest on Foreign TINs, Validation Standard for  
LOB Certifications and New Requirements for QDDs**

*Chip Collins, UBS AG*

*Philip Garlett, Ernst & Young LLP*

*Laurie Hatten-Boyd, KPMG LLP*

*Nancy Lee, Internal Revenue Service*

*John Sweeney, Internal Revenue Service*

2:45 p.m. – 3:00 p.m.

**Conference Wrap Up**

*Paul Sterbenz, Fifth Third Bank*

3:00 p.m.

**Conference Adjourns**